How to enter

banking technology Awards 2010

2010

Entry deadline: Friday 6 August 2010
Awards presentation & dinner: Wednesday 17 November

The Ballroom, Grosvenor House Hotel, Park Lane, London

Readers' Choice Awards

Readers to vote on vendor solutions...

Banking Technology's 10,000+ readers will be asked to vote for their choice of what they consider to be the best systems across the product areas that we have chosen as having the widest range of candidates. The solutions in each category can be from vendors based anywhere, provided that they are available in Europe.

The process will also be moderated by a panel of well-known industry figures to prevent multiple voting and ensure fair play.

For more than a decade, the Banking Technology Awards have reflected excellence in the use of IT in financial services. Each year the event has grown as an ever-wider pool of entrants vie for the recognition that the Awards confer on their efforts – from small regional banks in Central Europe and Africa to the industry behemoths with multi-million budgets and global projects, all are put under the scrutiny of our expert judging panel.

But the judges can only consider the entries that are put in front of them – and with several hundred contenders it is a daunting enough task – so it is important that institutions get their entries in before the deadline, and in a format that complies with the rules of entry.

That's why this year we are announcing the Banking Technology Awards 2010 now, to give entrants a good opportunity to pull their entries together in plenty of time before the closing date and judging day.

And for the Readers' Choice Awards, we are introducing a new streamlined nomination/voting system to make it even easier to vote for your choices.

David Bannister, Editor

- Most Innovative New Product or Service
- Best Trade Processing Product
- Best Payment Product
- Best Trading Platform/Order Management Product
- Best Financial Crime Prevention Product
- Best Risk Management Product
- Best Core Banking Product

Vote Now

Go to **www.bankingtech.com**
Voting deadline: **31 August**

banking technology Awards 2010

Meet the judges

Clive Hawkins, European head equities technology, Barclays Capital

Clive is european head of equities technology at Barclays Capital having previously worked at UBS Investment Bank as global head of FX and money markets technology, European head of equities technology and a variety of other senior roles in the cash and derivatives space. Prior to being in Investment Banking, Clive worked for IBM and also as a consultant in the Military Flight simulation industry.

Geoff Kates, managing director, Lepus

Geoff Kates is managing director of Lepus, a research and management consultancy. He is also the chairman, founding board member and UK regional director of PRMIA. He has held senior level technology positions in banks at BZW, Abbey National Baring Derivatives and Tokai Bank Europe. Prior to this he traded options for three years.

Alex Kwiatkowski, principal analyst, Ovum

Alex Kwiatkowski is a principal analyst within Ovum's Financial Services Technology team. Alex specialises in the technologies used within retail banks, with particular focus on multichannel customer interaction management solutions (including mobile devices), core systems transformation, payments, application architecture, investment strategies and IT programme governance. Prior to rejoining Ovum (then Datamonitor) in December 2006, Alex was responsible for developing the operational infrastructure strategy for LloydsTSB's Consumer Banking business division. Between September 2000 and December 2004, Alex was a consultant with Ovum, working on client-specific projects.

Michael Mainelli, founder of Z/Yen

After a career as a research scientist and accountancy firm partner, Michael co-founded the City of London's leading commercial think-tank, Z/Yen, to promote societal advance through better finance and technology. Michael created the Global Financial Centres Index, is Emeritus Professor of Commerce at Gresham College and seeks to promote sustainability through Long Finance. Michael has won a Smart Award for prediction systems, a Foresight Challenge award for financial research, been named UK IT Director of the Year and served on the board of Europe's largest R&D organisation.

Stephen Norman, chief information officer, The Royal Bank of Scotland Global Markets

Stephen became chief information officer for the Global Banking & Markets division of RBS in June 2005, and is responsible for the GBM Technology organisation. Prior to joining RBS, he worked at Merrill Lynch and before that at Paribas Capital markets. He has worked in a variety of IT roles in London, New York and Asia including managing large-scale software projects and departments, global infrastructure and sourcing strategies. Stephen has a PhD from Stanford University, California and an MA from Balliol College Oxford (Physics & Philosophy). Stephen has four grown up children. He is a keen runner and organised a team to run in the London marathon last year.

Ray O'Brien, HTS Global Banking & Markets, HSBC

Ray O'Brien, HSBC Global Banking & Markets IT, was born in Dublin and graduated from College in 1989 with a degree in Mathematics and Computer Science. After college, Ray moved to London to pursue a career working in Investment Banking. Starting first at Kleinwort Benson and Nomura in front office trading system development, he then moved into Risk systems development at Banque Paribas. From Paribas he joined Deutsche Bank, setting up a global risk technology group. At Deutsche Bank he went on to become a managing director and head of MIS, Treasury and Risk systems. In 2001 Ray left Deutsche Bank to form e1 Works, a risk management consultancy with offices in London and Germany. Ray joined HSBC in 2004, and has undertaken a number of senior IT roles. Ray is currently a member of the Executive Committee for Global Banking & Markets IT.

Meet the judges

Fred Tingey, senior engineer, The Royal Bank of Scotland

Fred Tingey has 18 years experience across investment banking, delivering software solutions primarily for the derivatives trading and risk management areas. He has held the position of head of development for a small software company, for interest rate derivatives at SG Warburg, and for equity derivatives and then risk management at BNP Paribas, including two year postings in both New York and Paris. Throughout his career Fred has had the opportunity to observe and try out many different approaches to systems delivery and to evaluate what works and what doesn't. There is no doubt in his mind that Agile methodologies are a natural fit for successful software development projects. He is currently employed putting theory into practice as a senior engineer at RBS.

Mark Russell, wholesale IT director, Lloyds Banking Group

Mark joined Lloyds Banking Group in March 2009 as wholesale IT director. Prior to this, Mark spent eight years at Lehman Brothers. From 2000 to 2004 Mark was CIO for Europe and Asia, leading a very successful transformation of the firm's international technology capabilities. In 2004 Mark moved to New York to take on a new position as head of technology and operations for the Mortgage Capital Division. Before joining Lehman Brothers, Mark held roles as CIO for the support divisions at CSFB and global CIO for ING Barings' Corporate and Investment Banking businesses. Mark's early business experience was with Salomon Brothers and Anderson Consulting whom he joined after completing a degree in Classics at Oxford University.

Helen Watt, senior programme manager, JPMorgan

Helen rejoined JPMorgan in 2008 as a senior programme manager for Worldwide Securities Services Technology. Helen manages strategic, cross line of business programmes for the division. Prior to this Helen had been with Citigroup's Global Transaction Services (GTS) division since 1998, holding senior positions within product management and technology. Her last position was as chief of staff, heading the GTS EMEA Business Unit, covering over 1,000 staff. In this role Helen was responsible for the strategic direction and delivery of all cross-business functions including software quality management, process improvement, resource strategy, financial management, vendor management and software configuration management. Since graduating with a Computer Science degree from King's College London, Helen has worked for both software houses and financial institutions in the City, and has held senior roles in development and support teams. She has worked for technology and front office departments on projects as diverse as real-time dealing systems and Internet client delivery applications.

Martin Taylor, group CIO, LCH.Clearnet

Martin Taylor joined LCH as interim CIO in June of 2006, and was appointed group CIO in January 2007. He has worked in IT all his working life, starting with British Airways in 1976, then a decade at Mars in the 1980's including a year in the USA driving MRP enabled change in US manufacturing plants. On his return to the UK he was appointed group CIO at Courtaulds, initially reducing cost in the UK, but spending much of his time in the Far East getting a common approach to IT in that region. LCH.Clearnet is the leading independent central counterparty (CCP) group in Europe, serving major international exchanges and platforms, equity, exchange-traded derivatives, energy, interbank interest rate swaps markets and the majority of the Euro-denominated and sterling bond and repo markets.

Paul Willmott, director, McKinsey & Co

Paul is the managing partner at McKinsey & Co's Business Technology Office in London. He advises business and IT executives in banking and beyond on a range of IT strategy, operations and organisation topics. Recent projects include developing the IT strategy for a well-known US financial group, and helping a leading investment bank to improve its return on IT investments. Outside of his client work, Paul writes for McKinsey on Business Technology and various other publications. Before joining McKinsey, he was with Andersen Consulting (now Accenture).

banking technology Awards 2010

Wednesday 17 November, Grosvenor House Hotel, London

Best Transaction Banking Achievement

To be awarded to the bank or institution that has shown an innovative technological approach in transaction banking.

Best Trading System Achievement

For the implementation of a new trading system using an innovative IT-led approach.

Best Treasury/Cash Management Achievement

This award will look for the best use of IT in support of treasury or cash management services.

Green IT Initiative by a Financial Institution

To reward the IT-led initiative that has had the greatest impact in reducing the carbon footprint of an institution.

Most Innovative Use of IT

To be awarded for the implementation that, in the opinion of the judges, shows the most innovative thinking in the use and deployment of technology.

Best Use of IT in Retail Banking

To be awarded to the bank or institution that has shown an innovative technological approach in retail banking.

Best Use of IT in Corporate and Investment Banking

To be awarded to the bank or institution that has shown an innovative technological approach in corporate and/or investment banking.

IT Team of the Year

Awarded to the IT team that has, in the opinion of the judges, made the best contribution to the business through the successful delivery of an IT project.

Best Infrastructure Achievement

A new category, to be awarded to the bank or institution that has demonstrated a successful infrastructural renewal or change project.

CIO of the Year

An individual category, awarded to the person who, in the opinion of the judges, has made an outstanding contribution to their institution or the industry.

Who can enter

Entries are sought from across the Europe, Middle East & Africa region with a focus on either local or European activities. Entries in more than one category are encouraged. Financial institutions may enter on their own behalf, or be nominated by a third party. Previous winners may re-enter the awards.

How to enter

All entries should be sent in a Word document via email to bankingtechawards@informa.com. Deadline for entering: Friday 6 August 2010.

Please ensure the following forms the header of your entry:

Category entering

Project title

Your name

Your job title

Company name

Address

Telephone

Email

General Criteria for each category

- Dates of the overall project (all entries should be for the period from July 2009 to July 2010)
- The key unique points of the project
- Performance metrics
- Project team size and mix
- User breakdown by number
- Financial impact/return on investment
- Functional diagrams where appropriate

Please note that for entries where a packaged software product has been used as part of the initiative, your submission should focus on how it as been deployed, the benefits achieved, and how it has been integrated with the overall technology infrastructure.

The rules

- All entries must be written in English and emailed to bankingtechawards@informa.com
- All entries must be no longer than 1,000 words
- Supporting material may be supplied, but the entry document should include all information you deem necessary for your awards entry
- The chairman of the judging panel reserves the right to cast any deciding vote should the need arise
- All entries will be treated as confidential, but *Banking Technology* reserves the right to publish positive extracts from winning entries
- The verdict of the judging panel is final
- The entry deadline is 6 August. Extensions may be accepted by prior agreement. Contact anke.muller@informa.com.